

(180 mg, 1.00 mmol) were mixed in THF (10 mL). The mixture was stirred for 65 h at room temperature and then heated at reflux for 15 h. Purification by SiO<sub>2</sub> flash chromatography (22 cm, Ø 2 cm, AcOEt:cyclohexane (97:3)→(20:80), 20 mL) allowed the partial separation of three diastereoisomers of the desired product as pale yellow oils: *cis*-**7** (71 mg, 30%) and *trans*-**7** (112 mg, 48%, d.r. = 92:8). Combined yield: 78%, d.r. = 56:39:5. Starting material (25 mg, 14%) was also recovered. See the Supporting Information for spectroscopic details.

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## Do Isopropyl and *tert*-Butyl Cations Form $\pi$ Complexes with Benzene?\*

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The question of formation of  $\pi$  complexes in electrophilic aromatic substitution (EAS) has been debated for a long time.<sup>[1–4]</sup> The answer to this question is fundamental for setting up the mechanism of EAS and, therefore, for the interpretation of the kinetic data. Quantum chemistry plays a key role in the assessment of the complex formations. Herein we deal with the identification of  $\pi$  complexes that may be formed from benzene and carbocations.

If we initially consider the structure of protonated benzene,  $\pi$  complexes of benzene with H<sup>+</sup> are not found by using the potential energy surface of the benzene–H<sup>+</sup> system. The only minimum is formed by the  $\sigma$  complex (benzenium ion).<sup>[5,6]</sup> The latter is also observed under mass spectrometric conditions<sup>[7]</sup> as well as in superacidic acids.<sup>[8]</sup> A “face” protonation is strongly disfavored.<sup>[5,6]</sup> The question arises, whether the knowledge about the protonation is qualitatively also valid for the carbocation attack on benzene.

The attack of CH<sub>3</sub><sup>+</sup> on benzene leads barrierless to a  $\sigma$  complex.<sup>[9]</sup> Our quantum-chemical studies on the interaction of the isopropyl cation with benzene do not give a  $\pi$ -complex intermediate (without the inclusion of electron correlation a conflicting result is obtained<sup>[10]</sup>). Like CH<sub>3</sub><sup>+</sup>, the naked isopropyl cation approaches the benzene molecule barrierless to form the  $\sigma$  complex **1a** (Figure 1). In a recent theoretical paper on the alkylation of naphthalene,<sup>[11]</sup>  $\pi$ -complex formation was not included. We do find a  $\pi$  complex (**3**) for the attack of the *tert*-butyl cation (*t*Bu<sup>+</sup>) on benzene preceding the  $\sigma$  complex **4** (Figure 2). In contrast to the results in reference [10], the cationic electrophile is not centered above the

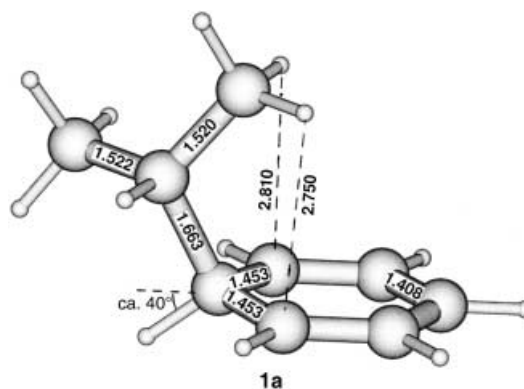


Figure 1. The  $\sigma$  complex **1a** of the isopropyl cation with benzene ( $C_1$  symmetry).

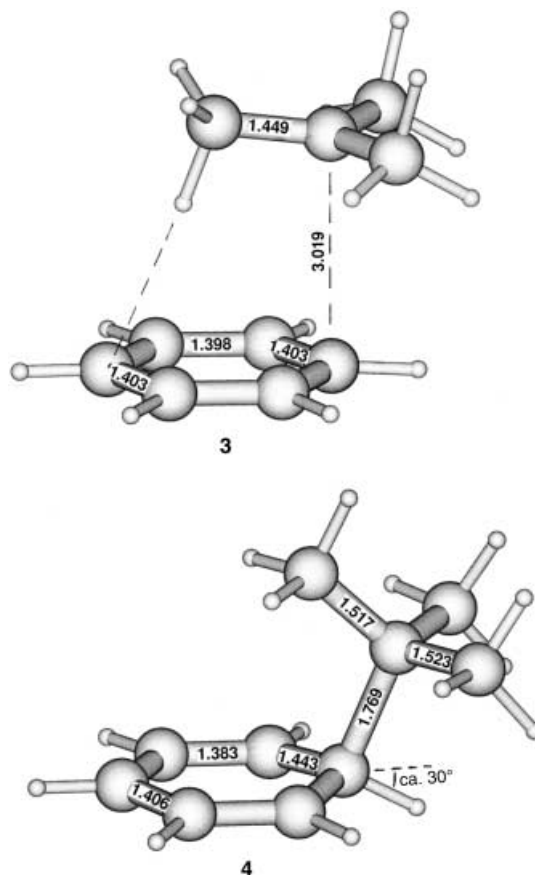


Figure 2. The  $\pi$  complex **3** ( $C_s$ ) and the  $\sigma$  complex **4** ( $C_s$ ) of the system [benzene + *tert*-butyl cation] (numbering according to Table 1; bond lengths [Å], angles [°]).

ring centroid. The “face” structure **5a** ( $C_3$ ) is, analogously to benzene–H<sup>+</sup>, a saddle point of index 2 and is  $\Delta H^\circ(0) = 2.5$  kcal mol<sup>–1</sup> higher in energy than the  $\sigma$  complex ( $\approx 7$  kcal mol<sup>–1</sup> with respect to  $\Delta E_{\text{pot}}$ , Table 1). Another three quasi-“face” structures **5b** ( $C_s$ ) are saddle points of index 1. They are  $\Delta H^\circ(0) = 2.1$  kcal mol<sup>–1</sup> more unstable than the  $\sigma$  complex (6 kcal mol<sup>–1</sup> with respect to  $\Delta E_{\text{pot}}$ ). The most probable position of the *t*Bu<sup>+</sup> (defined by the position of the central C( $\delta^+$ ) atom, C<sub>*t*Bu<sup>+</sup>) is calculated to be along the ring carbon atoms and their C–C bonds. Directly over the ring carbon atoms (distance C<sub>*t*Bu<sup>+</sup>–C<sub>benzene</sub> = 3.02 Å) there is a</sub></sub>

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Table 1. Selected total energies  $E_t$  and relative energies  $\Delta E_{\text{pot}}$  and  $\Delta H^{\circ}(0)$ .<sup>[a]</sup>

Structure/Compound	Symmetry	$E_t$ [Hartree] (index) <sup>[b]</sup>	$E(\text{ZPV})$ [kcal mol <sup>-1</sup> ]	$\Delta H^{\circ}(0)/\Delta E_{\text{pot}}$ [kcal mol <sup>-1</sup> ]
benzene	$C_{6h}$	-231.519173 (0)	62.7	PA <sup>[c]</sup> 174.6/181.9
propene	$C_s$	-117.510856 (0)	51.1	PA 176.5/182.1
isobutene	$C_{2v}$	-156.699867 (0)	69.2	PA 192.0/196.1
isopropyl cation	$C_2$	-117.801025 (0)	56.7	
<i>tert</i> -butyl cation	$C_1$ $C_1^{[d]}$	-157.012347 (0) -157.012367 (0)	75.0 75.1	
<i>benzene + isopropyl cation:</i>				
$\sigma$ complex <sup>[f]</sup>	<b>1a</b> $C_1$	-349.372478 (0)	125.1	$\sigma$ -mon <sup>[f]</sup> -27.1/-32.8
	<b>1b</b> $C_s$	-349.371103 (0)	125.4	
TS ( $\sigma$ - $\sigma$ )	<b>2</b> $C_s$	-349.356516 (1)	124.4	barr <sup>[f]</sup> 9.3/10.0
<i>benzene + <i>tert</i>-butyl cation:</i>				
$\pi$ complex	<b>3</b> $C_s$	-388.556170 (0)	139.8	$\pi$ -mon <sup>[f]</sup> -13.4/-15.5
				$\pi$ -mon <sup>[g]</sup> -9.9/-12.1
$\sigma$ complex	<b>4</b> $C_s$	-388.561898 (0)	142.9	$\sigma$ -mon <sup>[f]</sup> -13.9/-19.1
				$\pi$ - $\sigma$ 0.6/3.6
face structure	<b>5a</b> $C_3$	-388.550995 (2)	138.6	face- $\sigma$ 2.5/6.8
quasi-face <sup>[h]</sup>	<b>5b</b> $C_s$	-388.552265 (1)	139.0	
TS ( $\pi$ - $\sigma$ )	<b>6</b> $C_1$	-388.554350 (1)	140.4	barr ( $\pi$ - $\sigma$ ) <sup>[f]</sup> 1.7/1.1
				barr ( $\sigma$ - $\pi$ ) 2.2/4.7
TS ( $\pi$ - $\pi$ ) <sup>[i]</sup>	<b>7</b> $C_s$	-388.555902 (1)	139.8	barr ( $\pi$ - $\pi$ ) 0.2/0.2

[a]  $\Delta H^{\circ}(0)$  includes zero-point vibrational energies  $E_{\text{ZPV}}$  with MP2/6-31 + G\*\* (fc);<sup>[12]</sup> the method is found to be sufficiently reliable for calculations in carbocation chemistry.<sup>[11]</sup> [b] Index of the stationary point (number of negative eigenvalues of the force constant matrix). [c] Proton affinities. [d] Pyramidal *t*Bu group. [e] **1a**: Figure 1; **1b**: both CH<sub>3</sub> groups of the isopropyl group point symmetrically away from the ring. [f]  $\Delta H^{\circ}(0)/\Delta E_{\text{pot}}$  values are given by short-hand notation of the energy differences, for example face- $\sigma$  means:  $E(\text{face structure}) - E(\sigma\text{-complex})$ ; barr: barrier, mon: monomer, etc. [g] BSSE corrected. [h] In addition to the face structure **5a** ( $C_3$ ), there are further so-called quasi-face structures ( $C_s$ , **5b**) which are also no minima. In **5b**  $C_{\text{tBu}^+}$  is shifted from the ring center towards a C-C bond of the ring by about 0.3 Å. [i] The energy of the  $\pi$ - $\pi$  transition structure (TS) is nearly identical with that of **3**; the barrier is negligible (0.2 kcal mol<sup>-1</sup>,  $\nu_1 = 23\text{ i cm}^{-1}$ ). Between two  $\sigma$  complexes there is no direct TS; *t*Bu<sup>+</sup> follows the MEW over the TS( $\sigma$ - $\pi$ ) into the  $\pi$ -complex orbit and can reach any  $\sigma$ -complex from there by passing the TS( $\pi$ - $\sigma$ ).

negligible higher stability of -0.2 kcal mol<sup>-1</sup> (Table 1) compared with arrangements of  $C_{\text{tBu}^+}$  over benzene C-C bonds (distance 3.10 Å). In other words, the center of *t*Bu<sup>+</sup> is not fixed along the  $\pi$ -electron belt around the benzene ring. Hence, the present  $\pi$  complex is well represented by the description frequently used for putative or real  $\pi$  complexes of cations with benzene (cf. ref. [1]).

The formula demonstrates the great mobility of the *t*Bu<sup>+</sup> group along the benzene periphery (the formula cannot be used in the same sense for H<sup>+</sup> which does not form a  $\pi$  complex with benzene). The “ $\pi$ -complexation” energy with the *t*Bu<sup>+</sup> group is  $\Delta H^{\circ}(0) = -13.4$  ( $\Delta E_{\text{pot}} = -15.5$ ) kcal mol<sup>-1</sup> and is corrected for the basis set superposition error to give -10 (-12) kcal mol<sup>-1</sup> (Table 1). The *t*Bu<sup>+</sup> subunit remains nearly planar, the positive charge is still located at this group, which explains the isomerizations of the alkyl side chain.<sup>[14]</sup>

$\pi$ -Complex formation proceeds as follows: If  $C_{\text{tBu}^+}$ , with a charge of approximately +0.5 (Mulliken population analysis), approaches the  $\pi$ -electron belt of benzene up to about 3 Å, the system gains energy which is essentially electrostatic in nature (ion-molecule interaction!). Here, the polarization of the  $\pi$ -electron cloud plays an important role. However, the bonding is further enforced and stabilized at the given distance by the formation of a single hydrogen bond (2.35 Å) between one of the (positive) H atoms of the *t*Bu<sup>+</sup> CH<sub>3</sub> groups and the  $\pi$ -overlap region (cf. Figure 1). This single

hydrogen bond may be lost by rotation of the corresponding CH<sub>3</sub> group (Figure 3) and now  $C_{\text{tBu}^+}$  is able to further approach the benzene carbon atom by forming the  $\sigma$  complex ( $C_{\text{tBu}^+}$ -C<sub>benzene</sub> distance: 1.77 Å). However, the energy gain is limited due to the increasing steric hindrance and the increasing zero-point vibrational energy.

Importantly, the formation of the  $\sigma$  complex gives, in comparison to the  $\pi$  complex, a similar binding energy and therefore, a sufficient equalization of the stability of both complexes (despite of the very different distances between the

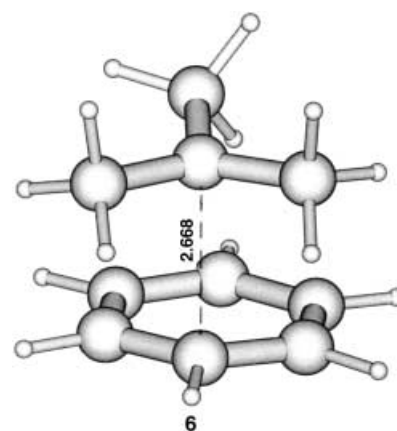
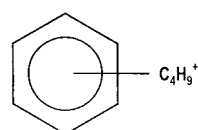


Figure 3. Benzene + *tert*-butyl cation: Transition structure **6** ( $C_1$ ) between  $\pi$  and  $\sigma$  complexes viewed from the right on **3** (Figure 2) to indicate the rotation of CH<sub>3</sub> group at the back.

subunits of the complexes). As expected,  $\sigma$  complexation with the isopropyl cation shows much larger binding energy,  $\Delta H^{\circ}(0) = -27.1$  and  $\Delta E_{\text{pot}} = -32.8$  kcal mol<sup>-1</sup> (Table 1). Here, the  $\pi$  complex disappears in the steep energy descent towards the  $\sigma$  complex. In electron-deficient arenes the probability of  $\pi$ -complex formation could be increased for the isopropyl cation.<sup>[14b]</sup> The transition structure (Figure 3) between the  $\pi$  and  $\sigma$  complex of the [C<sub>4</sub>H<sub>9</sub>-C<sub>6</sub>H<sub>6</sub>]<sup>+</sup> system occurs at R(C<sub>t</sub>Bu<sup>+</sup>-C<sub>benzene</sub>) = 2.68 Å with  $\Delta H^{\ddagger}(0) = 1.7$  and  $\Delta E_{\text{pot}} = 1.1$  kcal mol<sup>-1</sup>, respectively. In the opposite direction, the barrier is somewhat larger: 2.2(4.7) kcal mol<sup>-1</sup>. The results indicate a short-lived  $\pi$ -complex intermediate. The *t*Bu<sup>+</sup> shifts from one *ipso*- $\sigma$ -complex to the next require the intermediate change of *t*Bu<sup>+</sup> back into the  $\pi$ -complex region. From there, each of the six  $\sigma$  complexes can be reached by passing the  $\pi$ - $\sigma$  barrier. Figure 4 illustrates the energy profile.

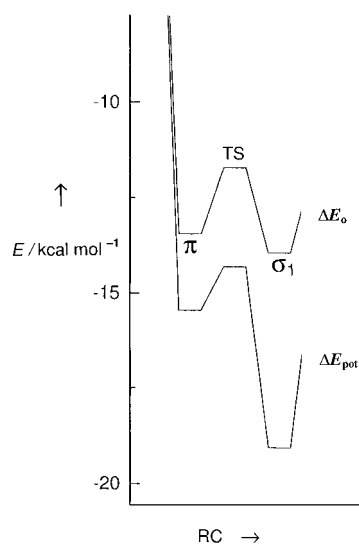


Figure 4. Energy profile of the gas-phase reaction of benzene with C<sub>4</sub>H<sub>9</sub><sup>+</sup> starting from the  $\pi$  complex formation and the subsequent transition structure to the  $\sigma$  complex;  $E(\text{benzene} + t\text{Bu}^+) = \text{zero}$ . The upper curve represents the zero-point energies (good approximation to the relative enthalpies); the potential energies below are shown for comparison.

Summarizing the results, we find an early  $\pi$ -complex during the attack of the *tert*-butyl cation on benzene which shows a high extent of nonrigidity along the ring periphery of benzene. The interactions are realized by electrostatic forces and a single hydrogen bond which keeps *t*Bu<sup>+</sup> at a distance of 3 Å to the benzene ring. The barrier for the *t*Bu<sup>+</sup> transition from the  $\pi$  complex to the  $\sigma$  complex essentially results from the loss of the hydrogen bond between the reactants by rotation of the H donor, the CH<sub>3</sub> group of the *t*Bu<sup>+</sup>.

Our results show a remarkable relation with those of gas-phase electrophilic aromatic substitution of alkyl cations with arenes studied by mass spectrometric<sup>[14–16]</sup> and radiolytic techniques.<sup>[17]</sup> Holman and Gross showed that  $\sigma$  and  $\pi$  complexes coexist as interconvertible species where the existence of  $\pi$  complexes is unambiguous for the interaction with large carbocations such as butyl and pentyl cations.<sup>[14a]</sup> The considerable  $\pi$ -complex stabilization of carbocations (here about 10 kcal mol<sup>-1</sup>) at a large distance from the benzene ring has also importance for the formation of

carbocation intermediates in enzymatic reactions.<sup>[9]</sup> It remains to note that the existence of  $\pi$  complexes in condensed-phase electrophilic aromatic substitution cannot be determined unambiguously based on results of gas-phase ion chemistry. However, the extensive indirect evidence found by Olah<sup>[2]</sup> provides a strong indication for the existence of  $\pi$  complexes also in solution, that is we can suppose that there is frequently a qualitative similarity between the structure formation in ion-molecule reactions (gas) and the corresponding electrophilic attack in certain solutions.

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